

Tab 3 – Auditing & Monitoring Tools

Background

Auditing and monitoring of infection prevention and control (IPC) behaviors/processes is an objective review process of specific ICP practices that uses structured and standardized documentation systems or tools to collect information. Auditing and monitoring compares staff performance of infection prevention behaviors to expected standards.

Auditing and monitoring benefits the IPC program by:

- Allowing providers to determine baseline behaviors and monitor adherence
- Identifying gaps and strengths in infection prevention practices
- Identifying educational and training needs
- Informing infection prevention program priorities
- Evaluating behavior change and educational/training outcomes

Purpose

This tab includes copies and links to a variety of auditing and monitoring tools from evidence-based sources as options for providers to use when auditing and monitoring staff performance of IPC behaviors/processes. These resources include the *VDSS Site Self-Evaluation Tool* which serves as a template for providers who wish to use it as a part of their auditing and monitoring programs to determine if implemented infection prevention and control practices are consistent with guidance from the Centers for Disease Control and Prevention (CDC) and individual facility policies and procedures.

Additional auditing and monitoring tool resources are provided that address the following IPC behaviors/processes:

- Performance of Hand Hygiene
- Use of Personal Protective Equipment (PPE)
- Environmental Cleaning and Disinfection

Trainer's Guide

The auditing and monitoring tools included in this tab represent only a small portion of the available resources for IPC auditing and monitoring. If you have a need for an auditing and monitoring tool that is not provided here, you can search reputable, evidence-based resources like the Centers for Disease Control (CDC) or Virginia Department of Health (VDH) website and find additional options. Many auditing and monitoring tools are available for download in an editable format and can be customized to better fit your setting and specific needs.

VDSS Site Self-Evaluation Tool

A copy is included in this tab. An editable version can be downloaded from:
<https://dss.virginia.gov/licensing/train-the-trainer/index.cgi>

Additional Auditing & Monitoring Resources

Items marked with an asterisk () have print copies in this binder.*

Hand Hygiene

MN Dept of Health ICAR Infection Prevention Audit Tools Main Page

<https://www.health.state.mn.us/facilities/patientsafety/infectioncontrol/icar/res/audit.html>

**MN Dept of Health Hand Hygiene Audit Tool*

<https://www.health.state.mn.us/facilities/patientsafety/infectioncontrol/icar/res/hhobserve.pdf>

MN Dept of Health Hand Hygiene Audit Tracking Workbook

<https://www.health.state.mn.us/facilities/patientsafety/infectioncontrol/icar/res/hhaudit.xlsx>

Personal Protective Equipment (PPE)

MN Dept of Health ICAR Infection Prevention Audit Tools Main Page

<https://www.health.state.mn.us/facilities/patientsafety/infectioncontrol/icar/res/audit.html>

**MN Dept of Health PPE Audit Tool*

<https://www.health.state.mn.us/facilities/patientsafety/infectioncontrol/icar/res/ppeobserve.pdf>

MN Dept of Health PPE Audit Tracking Workbook

<https://www.health.state.mn.us/facilities/patientsafety/infectioncontrol/icar/res/hhaudit.xlsx>

Environmental Cleaning and Disinfection

APIC Environmental Services (Main Page)

<https://apic.org/Resources/Topic-specific-infection-prevention/Environmental-services/>

****APIC Daily Cleaning Inspection Form (Doc Download)***

<https://apic.org/wp-content/uploads/strive/A-Module-1/104A-DAILY%20CLEANING%20INSPECTION%20FORM.docx>

CDC Evaluating Environmental Cleaning Toolkit Main Page

<https://www.cdc.gov/infection-control/php/evaluating-environmental-cleaning/>

****CDC Environmental Checklist for Monitoring Terminal Cleaning [DOC – 52 KB]***

<https://view.officeapps.live.com/op/view.aspx?src=https%3A%2F%2Fwww.cdc.gov%2Finfection-control%2Fmedia%2Fpdfs%2FGuidelines-Environmental-Cleaning-Checklist-2010-P.doc&wdOrigin=BROWSELINK>

CDC Environmental Checklist for Monitoring Terminal Cleaning [PDF – 71 KB]

<https://www.cdc.gov/healthcare-associated-infections/media/pdfs/environmental-cleaning-checklist-2010-p.pdf>

CDC Environmental Cleaning Evaluation Worksheet

<https://view.officeapps.live.com/op/view.aspx?src=https%3A%2F%2Fwww.cdc.gov%2Finfection-control%2Fmedia%2Fpdfs%2FGuidelines-Environmental-Cleaning-Evaluation-Worksheet-2010-P.xls&wdOrigin=BROWSELINK>

CDC Options for Evaluating Environmental Cleaning Toolkit (Instructional Documents)

<https://www.cdc.gov/healthcare-associated-infections/media/pdfs/enviro-cleaning-eval-toolkit-2010-508.pdf>

****UNC SPICE Unit Cleaning Checklist***

<https://spice.unc.edu/resources/spice-unit-cleaning-checklist/>

****VDH HAI Environmental Cleaning Audit Checklist***

<https://www.vdh.virginia.gov/content/uploads/sites/174/2023/01/Environmental-Cleaning-Checklist.xls>